



#4 Sector

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: John Nguyen
Serial No.: 09/686,729
Filed: October 10, 2000
For: MINIMALLY INVASIVE
VALVE REPAIR
APPARATUS AND METHOD
Group Art Unit 3731
Attorney Docket: 3311.020US0

**CERTIFICATE OF
MAILING/TRANSMISSION
(37 C.F.R. § 1.8A)**

I hereby certify that this correspondence is, on the date shown below, being:
(X) deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231.
() transmitted by facsimile to the Patent and Trademark Office.

3-30-01 *Cheryl Moreiss*
Date Cheryl Moreiss

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

BOX MISSING PARTS

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to the attached Notice to File Missing Parts of Non-Provisional Application, and relating to completion of the above-identified application, transmitted herewith are:

1. a copy of the Notice to File Missing Parts of Non-Provisional Application mailed November 30, 2000;
2. the Patent Application Declaration signed by the inventors pursuant to 37 C.F.R. §1.63;
3. a check in the amount of \$460.00 to cover:
 - a. the statutory basic filing fee of \$355.00, and
 - b. the surcharge as set forth in 37 C.F.R. § 1.16(e) of \$65.00;
4. a Petition for Extension of Time under 37 CFR 1.136(a) for responding within the second month.
5. a check in the amount of \$195.00 covering the Petition for Extension of Time.

Applicant claims small entity status.

The Commissioner is hereby authorized to charge any additional fees which may be required in this application under 37 C.F.R. §§1.16-1.17 during its entire pendency, or credit any overpayment, to Deposit Account No. 13-0201. A copy of this paper is enclosed.

Respectfully submitted,



Steven R. Vosen
Registration No. 45,186

March 30, 2001
COUDERT BROTHERS
4 Embarcadero Center, Suite 3300
San Francisco, CA 94111
Telephone: (415) 986-1300
Telefax: (415) 986-0320



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/686,729 | 10/10/2000 | John Nguyen | 3311.020US0 |

MAJESTIC, PARSONS, SIEBERT & HSUE P.C.
Four Embarcadero Center, Suite 1100
San Francisco, CA 94111-4106

FORMALITIES LETTER



OC000000005587619

Date Mailed: 11/30/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$80.
 - \$80 for 1 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 920.

A copy of this notice MUST be returned with the reply.

Shewang
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/04/2001 SSESHE1 00000013 09686729
01 FC:201
02 FC:205
03 FC:202

355.00 DP
65.00 DP
40.00 DP